

500.42907PX1

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: T. OKUMURA, et al.  
Serial No.: 10/717,646  
Filed: November 21, 2003  
Title: LITHIUM SECONDARY BATTERY  
Examiner: Unassigned  
Group Art Unit: 1745  
Confirmation No.: 9131

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97 AND 1.98**

Mail Stop:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

May 3, 2005

SIR:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of a Search Report issued February 3, 2004, in connection with a corresponding foreign application, and copies of documents cited therein. Also enclosed is a Form PTO/SB/08A and B, listing the enclosed documents.

Upon information and belief, this Information Disclosure Statement is being submitted prior to a first Office Action on the merits in the above-identified application. Clearly, the requirements of 37 CFR 1.97(b) are satisfied.

In any event, the following Statement is made under 37 CFR 1.97(e):

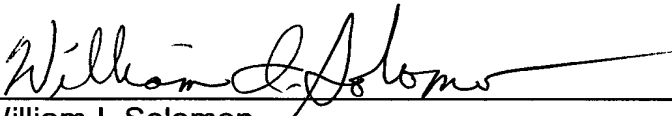
Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR 1.97 and 1.98 have been satisfied, in connection with each

of the documents listed on the enclosed Form. Accordingly, consideration of the listed documents, upon examination of the above-identified application, is respectfully requested.

Applicants request any shortage or excess in fees in connection with the filing of this paper, and for which no other form of payment is offered, be charged or credited to Deposit Account No. 01-2135 (case No. 500.42907PX1).

Respectfully submitted,  
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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE  STATEMENT BY APPLICANT</b> <b>May 3, 2005</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/717,646
				Filing Date	11/21/2003
				First Named Inventor	T. OKUMURA
				Group Art Unit	1745
				Examiner Name	Not yet assigned
				Attorney Docket Number	42907PX1
Sheet	2	of	2		

<b>NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Search Report, issued February 3, 2005 (2 pp.), for FA 643842 (FR 0313581)	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.  
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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